

## Account Equity

ED&F Man Capital Markets Ltd  
3 London Bridge Street  
London SE1 9SG  
United Kingdom

Account Number: CC:AMERIC-INVGR  
Account Name : American Investment Group of NY  
Date : 21-03-2014  
Currency : USD

## Trade Date Futures Positions (DKK)

Asset	Description	Position	Trd Price	Market Price	Orig Notional	Market Value	Open Trd Equity Cur
NFY16APR2014	NFY16APR2014BCLEAR	35,000.00 Sh	243.1300	239.2200	850,955,000.00 Cr	837,270,000.00 Dr	13,685,000.00 Cr DKK
OGY16APR2014	OGY16APR2014BCLEAR	47,500.00 Sh	142.6300	145.3100	677,492,500.00 Cr	690,222,500.00 Dr	12,730,000.00 Dr DKK
OGY20JUN2014	OGY20JUN2014BCLEAR	30,000.00 Sh	140.8500	145.3500	422,550,000.00 Cr	436,050,000.00 Dr	13,500,000.00 Dr DKK
TKF18JUL2014	TKF18JUL2014BCLEAR	20,000.00 Sh	49.3836	49.4500	98,767,200.00 Cr	98,900,000.00 Dr	132,800.00 Dr DKK
TKF20JUN2014	TKF20JUN2014BCLEAR	30,000.00 Sh	50.7861	49.4400	152,358,200.00 Cr	148,320,000.00 Dr	4,038,200.00 Cr DKK
=====							=====
2,202,122,900.00 Cr							2,210,762,500.00 Dr 8,639,600.00 Dr DKK

## Pending Trades (DKK)

Trade Dt	Settl Dt	Type	Trn	Quantity Asset	Trd Price	Proceeds Cur
18-03-14	24-03-14	Normal	BUY	2,500,000.00 DANSKE DC	144.2149	360,537,300.00 Dr DKK
18-03-14	24-03-14	Normal	BUY	2,250,000.00 DANSKE DC	144.2149	324,483,570.00 Dr DKK
20-03-14	26-03-14	Normal	BUY	3,500,000.00 NOVOB DC	246.7033	863,461,375.00 Dr DKK

## Equity Swaps

Tick Seq	Trade Dt	Term Dt	Asset Description	Quantity D	Open Price	Notional Cur	Market Price	MTM Next Valuation	Rate Description	Rate	Accrued Financing
203304	20-12-13	26-03-14	COLOB DC	500,000 S	353.2000	176,600,000 DKK	435.2000	-41,000,000	Fixed	0.0000	0.00
	27-12-13		COLOPLAST-B								
172560	12-11-13	12-11-14	VEON US	684,000 S	12.9900	8,885,160 USD	8.8900	2,804,400	Fixed	0.0000	0.00
	15-11-13		VEON LTD								

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ED&amp;F-00048775

**Account Equity**

ED&F Man Capital Markets Ltd  
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 Date : 21-03-2014  
 Currency : USD

**Account Summary**

Item	Amount (USD)
Value of opening currency balance	169,190,617.41 Dr
Value of trades settling today	0.00 Cr
Value of Rec/Del today	0.00 Cr
Value of Deposits/Withdrawals today	640,674.06 Cr
<b>Value of closing currency balance(s)</b>	<b>168,549,943.34 Dr</b>
Cash Collateral Pending Settlement	0.00 Cr
Financed Cash Pending Settlement	0.00 Cr
NonFX Proceeds Pending Settlement	286,130,717.11 Dr
<b>Trade Date Cash Balance</b>	<b>454,680,660.45 Dr</b>
Market Value of Positions	454,736,414.87 Cr
Mkt Val of Unsettled Fin Positions	0.00 Cr
Mkt Val of Settled Fin Positions	0.00 Cr
Mkt Val of Unsettled Fin Collateral	0.00 Cr
Mkt Val of Settled Fin Collateral	0.00 Cr
Net Value of Financing Interest	0.00 Cr
Open Trade Equity on FX Deals	0.00 Cr
Accrued Interest on Fixed Income	0.00 Cr
Value of Open Swap Positions	4,771,637.40 Dr
<b>Preliminary Account Value</b>	<b>4,715,882.98 Dr</b>

**Margin Summary**

Margin Req (Reg-T: Initial)	0.00 Cr
Margin Req (Reg-T: Maintenance)	0.00 Cr
Margin Req (Future Initial)	29,563,176.19 Dr
Margin Req (FOREX)	0.00 Cr
Margin Req (Minimum Equity)	0.00 Cr
<b>Margin Req (Total)</b>	<b>29,563,176.19 Dr</b>

**Futures Initial Requirement**

Cur Requirement	Requirement (USD)	Conv Rate
DKK 159,990,000.00 Dr	29,563,176.19 Dr	0.18478
	29,563,176.19 Dr	

**Total Account Value** 34,279,059.17 Dr

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ED&amp;F-00048776

## Account Equity

ED&F Man Capital Markets Ltd  
 3 London Bridge Street  
 London SE1 9SG  
 United Kingdom

Account Number: CC:AMERIC-INVGR  
 Account Name : American Investment Group of NY  
 Date : 21-03-2014  
 Currency : USD

## Financing Summary

Unsettled Value of collateral at close	0.00 Cr
Unsettled Financing Valuation	0.00 Cr
-----	=====
Unsettled Financing Excess at close	0.00
Settled Value of collateral at close	0.00 Cr
Settled Financing Valuation	0.00 Cr
-----	=====
Settled Financing Excess at close	0.00
Total Financing Excess at close	0.00

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## NOVOB DC

COUNTRY: DK

PAY DATE: 26/03/2014

FEE: 0.00

CLIENT ACCOUNT	CLIENT NAME	DIV %	LONG HOLD	SHORT HOLD	PAYABLE	RECEIVABLE	CLAIM?	NOTES
CC-AMERIC-INVRG	AMERICAN INVESTMENT GROUP OF NY LP PENSION PLAN	73%	3,100,000		13,497,500.00			
CC-AUTOPA-AUTOP	Autoparts Pensions Group Trust	73%	640,000		2,102,400.00			
CC-BLUEGR-BLUEG	Bluegrass Retirement Group Trust	73%	672,000		2,207,520.00			
CC-BLUELL-BLUEL	BlueGrass Investment Management LLC Retirement Plan	73%	739,000		2,427,615.00			
CC-CAMBRIDGE-CA	Cambridge Capital Management LLC Retirement Plan	73%	1,023,000		3,300,000.00			
CC-CAMBRIDGE-CA	Cambridge Way LLC 401K Profit Sharing Plan	73%	2,005,000		6,370,000.00			
CC-CASTPE-GRPTR	Casting Pensions Group Trust	73%	519,000		1,704,915.00			
CC-CYTECH-00000	Central Technologies Pensions Group Trust	73%	647,000		2,125,395.00			
CC-CYTECH-00000	Central Technologies Pensions Group Trust	73%	647,000		2,125,395.00			
CC-CUBIBE-00000	Cubix Managers Limited Partnerships	73%	2,000,000		6,570,000.00			
CC-DWABOO-CONST	DW CONSTRUCTION INC RETIREMENT PLAN	73%	3,895,000		12,547,350.00			
CC-EDGAR-EDGAR	EDGAR INVESTMENT SOLUTION PLAN	73%	3,040,000.00		9,800,000.00			
CC-GOLDST-LAWGRP	The Goldstein Law Group PC 401(K) Profit Sharing Plan FBO the	73%	2,000,000		6,570,000.00			
CC-GSF500-TRADD	GSF5 Trading Canada Corporate Pension Plan	73%	2,180,000		7,161,300.00			
CC-HAMILYN-LIPOD	HAMILYN LP	73%	2,660,000		8,541,000.00			
CC-INDUST-PENGP	Industrial Pension Group Trust	73%	676,000		2,220,660.00			
CC-KAMCOG-LPPRO	KAMCO LP PROFIT SHARING PLAN FBO	73%	900,000		2,956,500.00			
CC-KAMCOG-LPPRO	KAMCO LP PROFIT SHARING PLAN FBO	73%	1,500,000		13,497,500.00			
CC-KAMCOG-RIVE1	KAYE INDUSTRIES INC PENSION PLAN	73%	2,040,000		6,570,000.00			
CC-LANDER-ASSOCI	Lander Associates Defined Benefit Plan	73%	2,000,000		6,570,000.00			
CC-MIDBRI-ASSOCI	MIDBRI ASSOCIATES LLC 401K PLAN	73%	3,350,000		10,941,750.00			
CC-RIVERS-ASSOC	RIVERSIDE ASSOCIATES DEFINED BENEFIT PLAN	73%	2,000,000		6,570,000.00			
CC-SVHOLD-SVHOLD	SV Holdings LLC Retirement Plan	73%	817,000		2,683,845.00			
CC-TEWELL-TEWELL	Tew Enterprises LLC Retirement Plan	73%	674,000		2,214,090.00			
CC-TEWELL-TEWELL	Tew Enterprises LLC Retirement Plan	73%	674,000		2,214,090.00			
CC-TEWUPO-TEWU/P	Tew LP Retirement Plan	73%	636,000		2,023,560.00			
CC-TEWUPO-TEWU/P	Tew LP Retirement Plan	73%	636,000		2,023,560.00			
CC-TWETRA-TWETRA	Twetco LLC Pension Plan	73%	1,225,000		3,939,375.00			
CC-TWETRA-TWETRA	Twetco LLC Pension Plan	73%	1,225,000		3,939,375.00			
CC-EDF-PHF-EDFPH	ED&F PH Professional Trading (Dubai) Limited	73%		76,325,000		88,120,125.00		
CC-EDF-PHF-EDFPH	ED&F PH Professional Trading (Dubai) Limited	73%		76,325,000		88,120,125.00		
CC-GLOBAL-EQV01	BNP Paribas Depot 778523F	73%		-11,080,000		-36,397,800.00		
SV-WOLCAF-KCBOB	Volatile Limited - IBC	73%	26,825,000		88,120,125.00			
SV-WOLCAF-KCBOB	Volatile Limited - IBC	73%		-168,25,000		-80,270,125.00		

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BR:MAPLEO-UKLTD	Maple Securities UK Ltd	75%	10,000,000	17,890,000.00	
CC:ETS000-MALTA	ETS Malta Limited	85%	2,180,000	8,338,500.00	
CC:ETS000-MALTA	ETS Malta Limited	85%	2,180,000	8,338,500.00	
CC:ETS000-MALTA	ETS Malta Limited	85%	2,180,000	8,338,500.00	
TOTALS			96,730,000	-96,730,000	
TOTALS			317,758,050.00	-317,758,050.00	

YES  
YES

## SECURITIES LENDING POSITIONS

SL CLIENT ACCOUNT	SL CLIENT NAME	DIV %	LONG HOLD	SHORT HOLD	PAYABLE	RECEIVABLE	CLAIM?	NET STK LOAN AMT	PRMT N/D	PRMT ISS NO
BR:MAPLEO-UKLTD	Maple Securities UK Ltd	85%	2,180,000		8,338,500.00		YES		28/3	273593
CC:ETS000-MALTA	ETS Malta Limited	85%		-2,180,000		-8,338,500.00				
CC:AUTOPA-AUTOP	Autoparts Pensions Group Trust	100%	11,750		52,875.00					
CC:BLUEGR-BLUEG	Bluegrass Retirement Group Trust	100%	13,500		60,750.00					
CC:BLUELL-BLUEL	BlueGrass Investment Management LLC Retirement Plan	100%	10,085		45,382.50					
CC:CASTPE-GRPTR	Casting Pensions Group Trust	100%	44,500		200,250.00					
CC:CTECH0-00000	Central Technologies Pensions Group Trust	100%	2,500		11,250.00					
CC:INDUST-PENGP	Industrial Pension Group Trust	100%	3,500		15,750.00					
CC:SVHOLD-SVHOL	SV Holdings LLC Retirement Plan	100%	6,665		29,992.50					
CC:TEWLLC-TEWDD	Tew Enterprises LLC Retirement Plan	100%	43,665		196,492.50					
CC:TEWLPO-TEWLP	Tew LP Retirement Plan	100%	63,835		287,257.50					
CC:POLLEN-FUNDD	POLLEN FUND LIMITED	100%		-200,000		-900,000.00				
CC:CUBXBE-00000	Cubix Managers Limited Partnerships	100%	2,750,000		12,375,000.00					
CC:CUBXBE-BLOAN	Cubix Managers Limited Partnerships - BLOAN	100%		-2,750,000		-12,375,000.00				
SL TOTALS			5,130,000	-5,130,000	21,613,500.00	-21,613,500.00				
TOTALS					21,613,500.00	-21,613,500.00				

## SWAP POSITIONS

SWP CLIENT ACCOUNT	SWP CLIENT NAME	DIV %	SWAP LONG	SWAP SHORT	PAYABLE	RECEIVABLE
CC:LAKEWO-BLOAN	Lakewood Limited - BLOAN	100%	2,750,000		12,375,000.00	
CC:LAKEWO-00LTD	Lakewood Limited	100%		-2,750,000		-12,375,000.00
SWP TOTALS			2,750,000	-2,750,000	12,375,000.00	-12,375,000.00
TOTALS					12,375,000.00	-12,375,000.00

PREPARED:

DESK SIGN OFF:

OPS MANAGER SIGN OFF:

AGENT CASH RECEIVED

CLAIMS PAID

JOURNAL NUMBERS UPDATED

DATE:

FILE CLOSED

CLOSER:

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ED&amp;F-00048779

ShadowSuite 9.8.1 - User[MMEADE] Company [E1] Trade Date[15-10-2018] Server[tcp://172.20.20.38:5050] Port[VMSREDFPRDMT1] Zone[GREEN] - [General Journal Detail]

File Configuration Account Master Treasury Settlement Trading General Ledger Positions Price Operations Report Window Help

Journal ID: 273507 Retrieve By: Journal ID Origin: System

Journal Header

Journal ID	As Of Date	Entry Date	As of Post Date	Post Date	Type	Source	Origin
273507	28-03-2014	28-03-2014	28-03-2014	28-03-2014	User Adjustment	ORG	Import

Description: CASH INV - NOV03 INC - PD 26/03/14

External ID

Ancestor External ID

Reverse ID: (None)

Reversal Type: Never

Ancestor ID

Status: Posted

Reverse Status: Not Applicable

Reverse Date: EOT

New Journal Copy Journal Delete Journal Post Unpost Set R v Info Del R versal

Journal Detail Lines Audit Trail Information Authorization

Detail Lines (2)

Show Groups

Seq	Asset	Country	Cur	Amount	Account ID	Account Name	Layer	Inv Type	Cost	D
1	1 DKK	(None)	DKK	11,497,500.0000 Dr	CO:CORPAC-CORPA	CORPORATE ACTIONS CONTROL AC	Cash	Unclassified Inventory Type		
2	2 DKK	(None)	DKK	11,475,000.0000 Cr	CC:AMERIC-INVGR	American Investment Group of NY P Pension Plan	Cas	Unclassified Inventory Type		

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ED&amp;F-00048780

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E D &amp; F MAN CAPITAL MARKETS LIMITED

## Tax Voucher

We ED&F Man Capital Markets Ltd, based at Cotton's Centre, Hays Lane, London SE1 2QE and registered in the United Kingdom – confirm, Autoparts Pensions Group Trust - 901 Aiken Road, Versailles, Kentucky, 40383, USA, was holding the below security over the dividend date.

Security Description:	Novo Nordisk A/S
ISIN:	DK0060534915
SEDOL:	BHC8X90
Ex Date:	21/03/2014
Record Date:	25/03/2014
Pay Date:	26/03/2014
Quantity:	2,540,000.00
Gross Div Rate:	4.5
Amount Received:	8,343,900.00
WHT Suffered:	3,086,100.00
Currency	DKK
WHT %:	27%

ED&F Man Capital Markets Limited has no beneficial interest in the holding and will not be reclaiming the tax. The dividends specified on this credit advice were paid net of withholding tax to Autoparts Pensions Group Trust. If you have any further concerns or issues please do not hesitate to contact us.

### AUTHORISED SIGNATORY

Christina MacKinnon

Head of Securities Operations

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## Tax Voucher

We ED&F Man Capital Markets Ltd, based at Cotton's Centre, Hays Lane, London SE1 2QE and registered in the United Kingdom – confirm, Bluegrass Investment Management LLC Retirement Plan - 901 Aiken Road, Versailles, Kentucky, 40383, USA, was holding the below security over the dividend date.

Security Description:	Novo Nordisk A/S
ISIN:	DK0060534915
SEDOL:	BHC8X90
Ex Date:	21/03/2014
Record Date:	25/03/2014
Pay Date:	26/03/2014
Quantity:	2,262,000.00
Gross Div Rate:	4.5
Amount Received:	7,430,670.00
WHT Suffered:	2,748,330.00
Currency:	DKK
WHT %:	27%

ED&F Man Capital Markets Limited has no beneficial interest in the holding and will not be reclaiming the tax. The dividends specified on this credit advice were paid net of withholding tax to Bluegrass Investment Management LLC Retirement Plan. If you have any further concerns or issues please do not hesitate to contact us.

### AUTHORISED SIGNATORY

Christina MacKinnon

Head of Securities Operations



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E D &amp; F MAN CAPITAL MARKETS LIMITED

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Security Description:	Novo Nordisk A/S
ISIN:	DK0060534915
SEDOL:	BHC8X90
Ex Date:	21/03/2014
Record Date:	25/03/2014
Pay Date:	26/03/2014
Quantity:	1,397,000.00
Gross Div Rate:	4.5
Amount Received:	4,589,145.00
WHT Suffered:	1,697,355.00
Currency:	DKK
WHT %:	27%

ED&F Man Capital Markets Limited has no beneficial interest in the holding and will not be reclaiming the tax. The dividends specified on this credit advice were paid net of withholding tax to Bluegrass Retirement Group Trust. If you have any further concerns or issues please do not hesitate to contact us.

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